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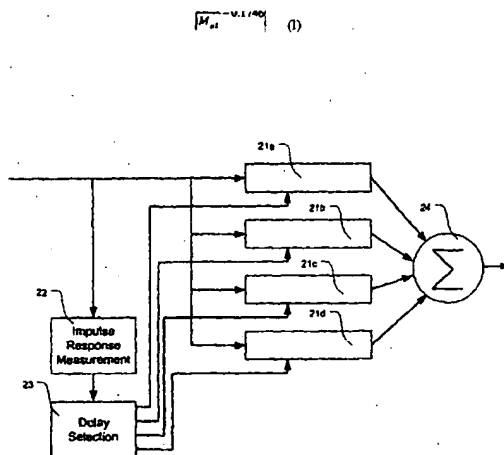
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- For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.*

(54) Title: **RAKE RECEIVER**



(57) Abstract: A DSP subsystem (3) implements four conventional rake fingers (21a, 21b, 21c, 21d), a conventional impulse response measurement process (22) and a delay selection process (23). A received signal is supplied to the impulse response measurement process (22), which outputs up to eight delay and magnitude values notionally corresponding to different paths. Each delay and magnitude value pair relates to one peak in the impulse response of the input signal. The output of the impulse response measurement process (22) is then processed by the delay selection process (23) to select the four best paths. The delay selection process (23) calculates the temporal distances between the delays output by the impulse measurement process. If any peaks are temporally separated by a period characteristic of the separation of main and side lobes of filters for producing the baseband pulse shape expected by the receiver (in the embodiment 1.5 chips) are found, for each pair the following is calculated (I): where M_{p1} and M_{p2} are the magnitudes of the peaks. The resultant values are compared with 0.0643 and, if lower, the peak of the pair having the lower magnitude is removed from the set of peaks output by the impulse response measurement process (22).

Title: Rake Receiver**Field of the Invention**

5 The present invention relates to Rake receivers.

Background to the Invention

Rake receivers are widely used in CDMA (Code Division Multiple Access) radio communication systems. A rake receiver seeks to synchronise a plurality of parallel
10 receivers, known as rake fingers, to respective received signals having different path delays.

The transmitters and receivers in CDMA radio communication systems include filters, the consequence of which is the generation of spurious signals time shifted
15 with respect to the original signal. For example, in a typical system the transmitter and receiver filters act to produce a raised cosine pulse shape in the receiver. Such a pulse shape has temporal side lobes. With raised cosine filters, the maximum of the first side lobes are normally at a distance of 1.4 chips from the main lobe. If the filters sample at 0.5 chip intervals, the closest in of the side lobes are 1.5 chips
20 either side of the centre of main lobe and approximately 14dB down.

The main lobe and side lobe signals appear to a rake receiver as a relatively strong signal preceded versions following shorted but more lossy paths and followed by signals following longer and more lossy paths.

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Summary of the Invention

According to the present invention, there is provided a rake receiver comprising impulse response measurement means and path allocation means for allocating paths to rake fingers in dependence on the output of the impulse response
30 measurement means, characterised in that the path allocating means is configured to compare the magnitudes of pairs of peaks, represented in the output of the impulse response measurement means, and ignore the lesser member of a pair for the allocation of paths to rake fingers, if the magnitudes of the pair differ in a

predetermined manner so as to exclude spurious paths, the pairs comprising peaks temporally separated by a period characteristic of the separation of main and side lobes of filters for producing the baseband pulse shape expected by the receiver.

5 Thus, by analysing the separation and relative magnitudes of pairs of peaks, it is possible to identify spurious paths. In any given system, the separations and relative magnitudes characteristic of the presence of a spurious path will depend on the details of the system, e.g. filter design and noise spectrum.

10 Generally, the magnitude of the ratio of the magnitudes of a pair of peaks will fall within a predetermined, system-dependent, range if one of the peaks relates to a spurious path. Preferably, the predetermined manner comprises the magnitude of the result of their ratio minus a reference value being less than a threshold value. More preferably, the reference value corresponds to the theoretical lobe ratio
 15 increased by an amount attributable to the uncertainty introduced by noise. Still more preferably, said reference value comprises half the sum of the upper and lower bounds of a confidence interval in the probability density for the lobe ratio, the confidence interval being not less than 90% and preferably about 95% and, optionally, said threshold value is half the width of said confidence interval.

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The pulse shape will often be a raised cosine.

Preferably, the predetermined manner comprises meeting the criterion:-

$$\left| \frac{M_{p1}}{M_{p2}} - R \right| < I$$

25 where M_{p1} and M_{p2} are the magnitudes of the peaks of a pair, R is a reference ratio for a main lobe and a side lobe and I is a confidence factor. More preferably, R is approximately 0.175, preferably 0.1746, and I is approximately 0.065, preferably 0.0643.

30 The present invention may be implemented in a mobile phone or a base station of a mobile phone network.

Brief Description of the Drawings

Figure 1 is a block diagram of a mobile station;

Figure 2 is a block diagram of the rake functionality of the DSP subsystem of the mobile station of Figure 1;

5 Figure 3 is a flowchart illustrating the delay selection process performed by the DSP subsystem; and

Figure 4 shows a mobile phone network base station including a rake receiver according to the present invention.

10 Detailed Description of the Preferred Embodiment

A preferred embodiment of the present invention will now be described, by way of example, with reference to the accompanying drawings.

Referring to Figure 1, a WCDMA mobile station comprises an antenna 1, an rf
15 subsystem 2, a baseband DSP (digital signal processing) subsystem 3, an analogue audio subsystem 4, a loudspeaker 5, a microphone 6, a controller 7, a liquid crystal display 8, a keypad 9, memory 10, a battery 11 and a power supply circuit 12.

The rf subsystem 2 contains if and rf circuits of the mobile telephone's transmitter
20 and receiver and a frequency synthesizer for tuning the mobile station's transmitter and receiver. The antenna 1 is coupled to the rf subsystem 2 for the reception and transmission of radio waves.

The baseband DSP subsystem 3 is coupled to the rf subsystem 2 to receive
25 baseband signals therefrom and for sending baseband modulation signals thereto. The baseband DSP subsystem 3 includes rake functionality, as well as decoding and bit detecting functionality which are well-known in the art.

The analogue audio subsystem 4 is coupled to the baseband DSP subsystem 3 and receives demodulated audio therefrom. The analogue audio subsystem 4 amplifies
30 the demodulated audio and applies it to the loudspeaker 5. Acoustic signals, detected by the microphone 6, are pre-amplified by the analogue audio subsystem 4 and sent to the baseband DSP subsystem 3 for coding.

- 4 -

The controller 7 controls the operation of the mobile telephone. It is coupled to the rf subsystem 2 for supplying tuning instructions to the frequency synthesizer and to the baseband DSP subsystem 3 for supplying control data and management data for transmission. The controller 7 operates according to a program stored in the memory 10. The memory 10 is shown separately from the controller 7. However, it may be integrated with the controller 7.

The display device 8 is connected to the controller 7 for receiving control data and the keypad 9 is connected to the controller 7 for supplying user input data signals thereto.

The battery 1 is connected to the power supply circuit 12, which provides regulated power at the various voltages used by the components of the mobile telephone.

The controller 7 is programmed to control the mobile station for speech and data communication and with application programs, e.g. a WAP browser, which make use of the mobile station's data communication capabilities.

In order to ameliorate the effect of filter temporal side lobes, the DSP subsystem 3 is programmed to take the relative strengths of the filter lobes when setting up rake fingers.

Referring to Figure 2, the DSP subsystem 3 implements four conventional rake fingers 21a, 21b, 21c, 21d, a conventional impulse response measurement process 22 and a delay selection process 23 which identifies the paths to be allocated to rake fingers and supplies phase information to the rake fingers 21a, 21b, 21c, 21d. The phase information is used by the rake fingers 21a, 21b, 21c, 21d to control the phase of the despreading sequence.

The received signal is supplied to the impulse response measurement process 22 and to each of the rake fingers 21a, 21b, 21c, 21d. The impulse response measurement process 22 outputs up to eight delay and magnitude values notionally corresponding to different paths. Each delay and magnitude value pair relates to one peak in the

impulse response of the input signal. The output of the impulse response measurement process 22 is then processed by the delay selection process 23 to select the four best paths.

5 The outputs of the rake fingers 21a, 21b, 21c, 21d are combined by a summer 24.

Referring to Figure 3, the delay selection process first calculates the temporal distances between the delays output by the impulse measurement process 22 (step s1). If none of these distances is the equivalent 1.5 chips (i.e. 3 x 0.5 chips which is
10 the sampling period of the filters in the system), the four delays having the greatest magnitudes are then selected and supplied to respective rake fingers 21a, 21b, 21c, 21d (step s3).

If any 1.5 chip distances are found at step s2, for each pair of 1.5 chip separated
15 peaks (step s7), the following is calculated:

$$\left| \frac{M_{p1}}{M_{p2}} - 0.1746 \right| \quad (1)$$

where M_{p1} and M_{p2} are the magnitudes of the peaks comprised in the pair (step s4). The resultant values are compared with 0.0643 (step s5) and, if they are lower, the peak of the current pair having the lower magnitude is removed from the set of
20 peaks output by the impulse response measurement process 22 (step s6).

When all of the pairs of 1.5 chip separated peaks have been processed, the best four remaining peaks are selected and the corresponding delays provided to the rake fingers 21a, 21b, 21c, 21d (step s3).
25

If there are less than four peaks, a corresponding reduced number of rake fingers 21a, 21b, 21c, 21d are employed.

In this way, resources are not wasted by allocating rake fingers 21a, 21b, 21c, 21d to
30 spurious paths.

In the present example, the theoretical magnitude difference between adjacent lobes, e.g. the main lobe and a first side lobe, is 14.52dB. However, uncertainties in the magnitudes of the peaks output by the impulse response measurement process 22 can result from thermal and correlation noise and jitter in the filter sampling clock. The consequence of these two effects is an asymmetrical probability density function for the ratio between the main and first side lobes. In the present example, the "sidelobe ratio" is taken to be the mid-point of the 95% confidence interval of this probability density function, i.e. $0.1746 = -15.16\text{dB}$ as used in equation (1) above.

The value (0.0643) with which the result of equation (1) is compared is the "sidelobe interval" which is the magnitude of the half the length of the 95% confidence interval. This value is related to the range of calculated sidelobe ratios within, which spurious paths arising from side lobes, can be reliably detected.

Experiments with the above example have shown that rake fingers will only be allocated to spurious paths in 5% of cases. As a result, there is a significant improvement in signal to noise ratio in the receiver and, since often not all rake fingers are allocated paths, there is a saving in power consumption by the mobile station.

Referring to Figure 4, a mobile phone network base station 30 includes an rf section 31 and a baseband digital signal processing section 32 and a controller 33 for controlling the rf section 31 and the baseband digital signal processing section 32.

The rf section 31 receives rf signals from mobile phones and outputs demodulated baseband signals. The demodulated baseband signals are processed by the baseband digital signal processing section 32 which applies the signals to a plurality of rake fingers as described above. Peaks associated with spurious paths, created by filtering in the system, are removed, as described above, in the allocation of rake fingers to paths.

It will be appreciated that the temporal separation of lobes will depend on the sampling frequency used for the pulse-forming filters. Additionally, the magnitude

difference between the main lobe and the first side lobes will be different for different filter designs and different pulse shapes. The values for the sidelobe ratio and the sidelobe interval will be a matter of choice to a degree and may be based on, for example, a 90% confidence range, depending on the degree of spurious path rejection that is desired.

In the above embodiment, the magnitudes of the peaks are measured in terms of the signal amplitude. In an alternative embodiment (not shown), the magnitudes of the peaks are measured in terms of the signal power. In this embodiment, the difference between the magnitudes of the peaks is the same value in dB as it is with the amplitude measuring embodiment. However, the confidence interval is modified such that it is the square of the confidence interval of the corresponding amplitude measuring embodiment.

Claims

1. A rake receiver comprising impulse response measurement means (22) and path allocation means (23) for allocating paths to rake fingers (21a, 21b, 21c, 21d) in dependence on the output of the impulse response measurement means (22),
5 characterised in that the path allocating means (23) is configured to compare the magnitudes of pairs of peaks, represented in the output of the impulse response measurement means (23), and ignore the lesser member of a pair for the allocation of paths to rake fingers (21a, 21b, 21c, 21d), if the magnitudes of the pair differ in a
10 predetermined manner so as to exclude spurious paths, the pairs comprising peaks temporally separated by a period characteristic of the separation of main and side lobes of filters for producing the baseband pulse shape expected by the receiver.
2. A rake receiver according to claim 1, wherein said predetermined manner
15 comprises the magnitude of their ratio being within a predetermined range.
3. A rake receiver according to claim 2, wherein said predetermined manner comprises the magnitude of the result of their ratio less a reference value being less than a threshold value.
20
4. A rake receiver according to claim 3, wherein the reference value corresponds to the theoretical lobe ratio increased by an amount attributable to the uncertainty introduced by noise.
- 25 5. A rake receiver according to claim 4, wherein said reference value comprises half the sum of the upper and lower bounds of a confidence interval in the probability density for the lobe ratio, the confidence interval being not less than 90% and preferably about 95%.
- 30 6. A rake receiver according to claim 5, wherein said threshold value is half the width of said confidence interval.

7. A rake receiver according to claim 1, wherein said pulse shape is a raised cosine.

8. A rake receiver according to claim 1, wherein said predetermined manner
5 comprises meeting the criterion:-

$$\left| \frac{M_{p1}}{M_{p2}} - R \right| < I$$

where M_{p1} and M_{p2} are the magnitudes of the peaks of a pair, R is a reference ratio for a main lobe and a side lobe and I is a confidence factor.

10 9. A rake receiver according to claim 8, wherein R is approximately 0.175, preferably 0.1746, and I is approximately 0.065, preferably 0.0643.

10. A mobile phone network receiver including a receiver according to any preceding claim.

15

11. A rake receiver for receiving CDMA signals, the receiver comprising a baseband signal processor configured:

to implement a plurality of rake fingers,

to perform impulse response measurement, and

20

to perform path allocation for allocating paths to rake fingers in dependence on the result impulse response measurement,

wherein said path allocation comprises:

comparing the magnitudes of pairs of peaks, identified by said impulse response measurement, and

25

ignoring the lesser member of a pair of peaks for the allocation of paths to rake fingers, if the magnitudes of the peaks of said pair differ in a predetermined manner, so as to exclude spurious paths, the pairs comprising peaks temporally separated by a period characteristic of the separation of main and side lobes of filters for producing the baseband pulse shape
30 expected by the receiver.

12. A rake receiver according to claim 11, wherein said predetermined manner comprises the magnitude of their ratio being within a predetermined range.

13. A rake receiver according to claim 12, wherein said predetermined manner
5 comprises the magnitude of the result of their ratio less a reference value being less than a threshold value.

14. A rake receiver according to claim 13, wherein the reference value
10 corresponds to the theoretical lobe ratio increased by an amount attributable to the uncertainty introduced by noise.

15. A rake receiver according to claim 14, wherein said reference value
comprises half the sum of the upper and lower bounds of a confidence interval in
the probability density for the lobe ratio, the confidence interval being not less than
15 90% and preferably about 95%.

16. A rake receiver according to claim 15, wherein said threshold value is half
the width of said confidence interval.

20 17. A rake receiver according to claim 11, wherein said pulse shape is a raised cosine.

18. A rake receiver according to claim 11, wherein said predetermined manner
comprises meeting the criterion:-

25
$$\left| \frac{M_{p1}}{M_{p2}} - R \right| < I$$

where M_{p1} and M_{p2} are the magnitudes of the peaks of a pair, R is a reference ratio
for a main lobe and a side lobe and I is a confidence factor.

19. A rake receiver according to claim 18, wherein R is approximately 0.175,
30 preferably 0.1746, and I is approximately 0.065, preferably 0.0643.

20. A mobile phone network receiver including a receiver according to any one of claims 11 to 19.

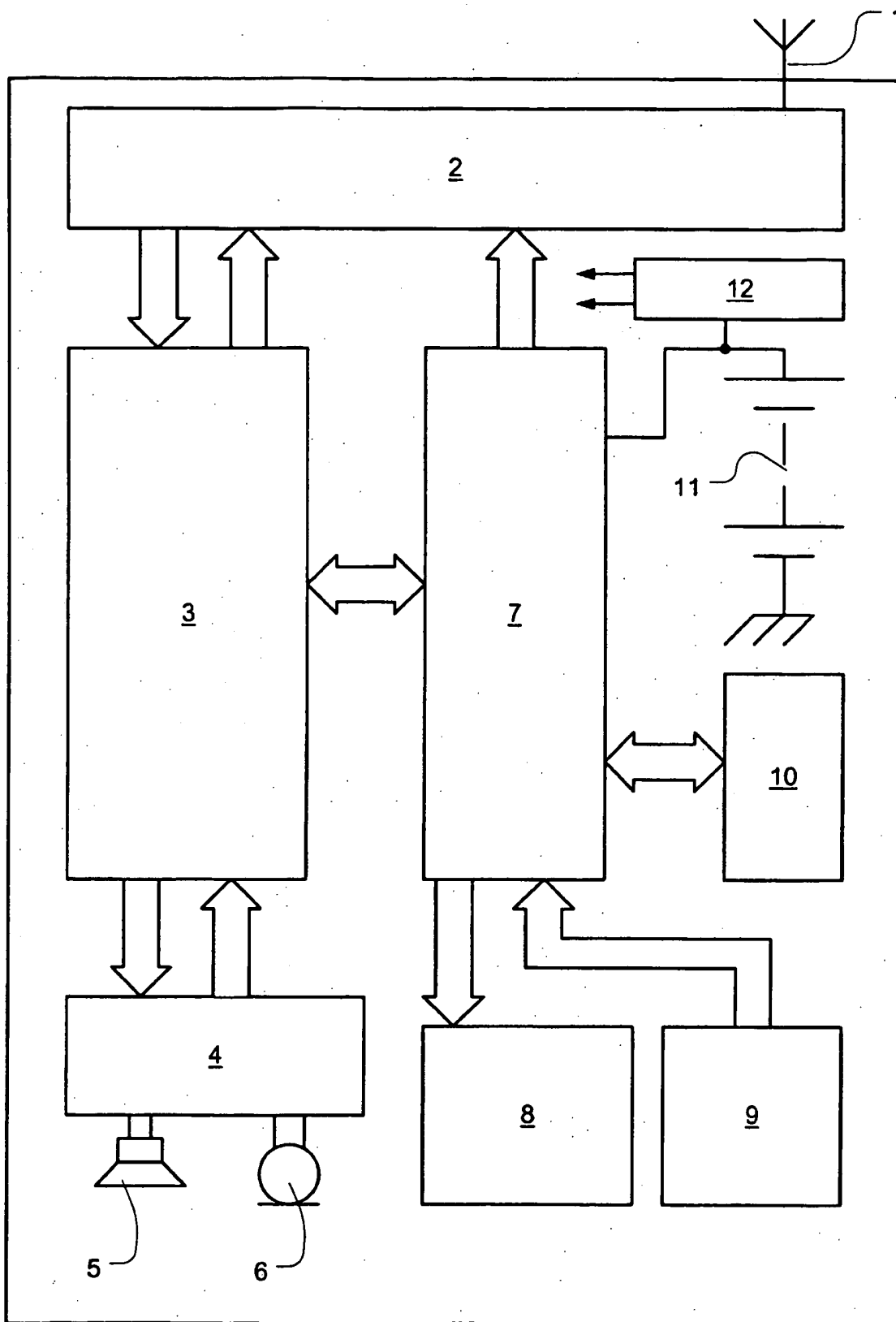


Figure 1

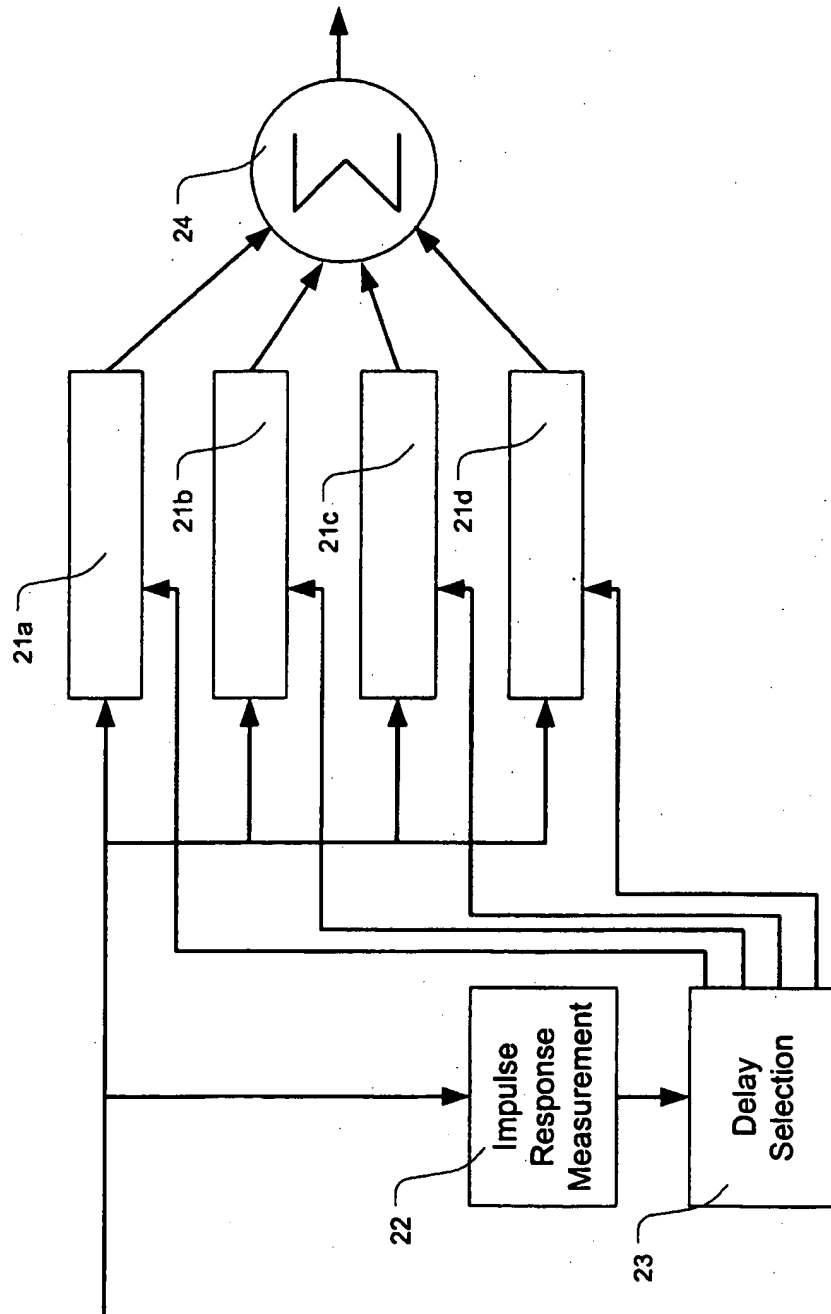


Figure 2

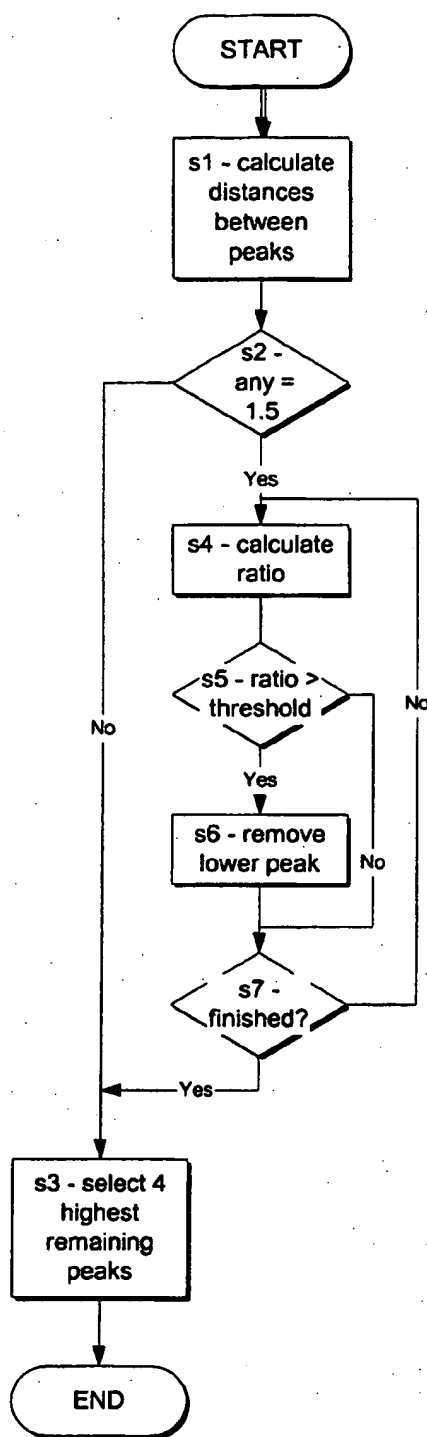


Figure 3

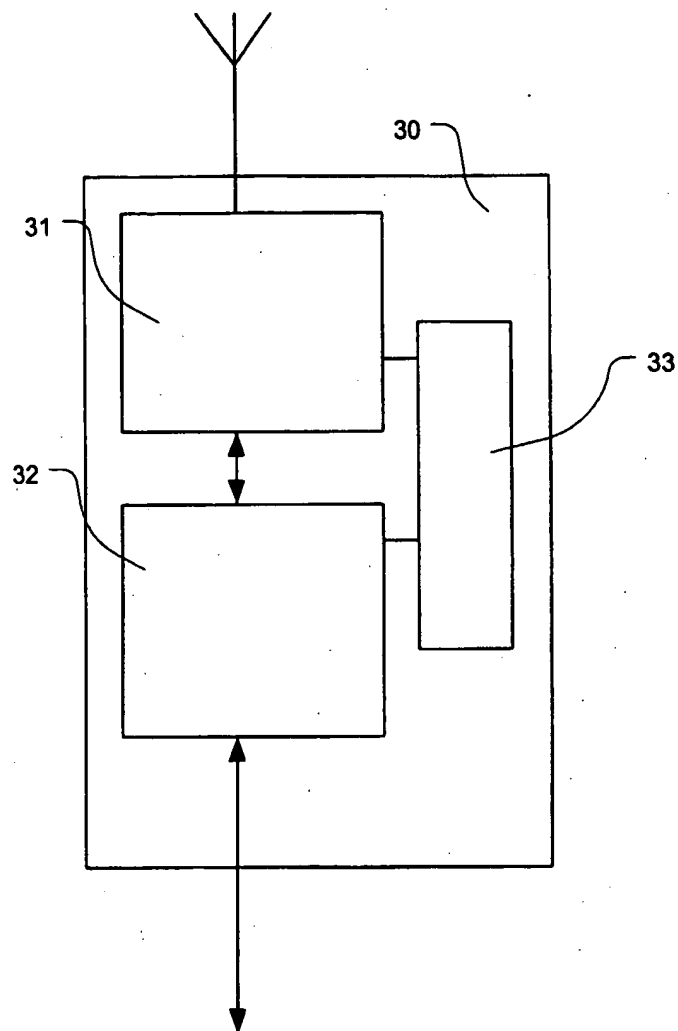


Figure 4

INTERNATIONAL SEARCH REPORT

International application No.

PCT/IB 02/03351

A. CLASSIFICATION OF SUBJECT MATTER

IPC7: H04B 1/707

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC7: H04B

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

SE,DK,FI,NO classes as above

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

EPO-INTERNAL, WPI DATA, PAJ, INSPEC

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	US 2001010688 A1 (BI, Q. ET AL), 2 August 2001 (02.08.01), paragraph [0017] - [0025]; [0043] - [0053]; [0062] --	1-20
A	WO 0227960 A2 (QUALCOMM INCORPORATED), 4 April 2002 (04.04.02), paragraph [1009] - [1011]; [1015] - [1023]; figures 1,2 --	1-20



Further documents are listed in the continuation of Box C.



See patent family annex.

* Special categories of cited documents:

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Date of the actual completion of the international search

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INTERNATIONAL SEARCH REPORT

International application No.

PCT/IB 02/03351

C (Continuation). DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	<p>MOHEBBI, B.B. et al. "Spectral shaping for optimum RAKE receiver performance". In: PERSONAL, INDOOR AND MOBILE RADIO COMMUNICATIONS, 1995. PIMRC'95. 'WIRELESS: MERGING ONTO THE INFORMATION SUPERHIGHWAY'. SIXTH IEEE INTERNATIONAL SYMPOSIUM ON. Toronto, Ont., Canada, 27 - 29 September 1995, Vol. 2, pages 723 - 726, INSPEC AN: 5347874, see page 724, right hand column, second paragraph.</p> <p>-- -----</p>	1-20

INTERNATIONAL SEARCH REPORT

International application No.

PCT/IB 02/03351

Patent document cited in search report		Publication date	Patent family member(s)	Publication date
US	2001010688	A1	02/08/01	NONE
WO	0227960	A2	04/04/02	AU 9316701 A 08/04/02